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DATE: July 6, 2005

TO: Art Unit 1615

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FROM: EDMUND J. SEASE

NUMBER OF PAGES (Including cover): 32

Please file the attached **INFORMATION DISCLOSURE STATEMENT** for the following:

APPLICANT: JL, Shishan et al.  
SERIAL NO: 10/508,866  
FILED: May 11, 2005  
TITLE: HYDROPHILIC POLYMERS FLAVOIDS CONJUGATES  
AND PHARMACEUTICAL COMPOSITIONS COMPRISING  
THEM

Group Art Unit: 1615  
Confirmation No: 8507  
Docket No. P06927US00

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PATENT  
Attorney Docket No. P06927US00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: JI, Shishan et al. Group Art Unit: 1615  
SERIAL NO: 10/508,866 Examiner:  
FILED: May 11, 2005 Confirmation No: 8507  
TITLE: HYDROPHILIC POLYMERS FLAVOIDS CONJUGATES AND  
PHARMACEUTICAL COMPOSITIONS COMPRISING THEM

INFORMATION DISCLOSURE STATEMENT  
FILED WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This information disclosure statement is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, or before the mailing of a first office action after the filing of a request for continued examination, whichever event occurs last.

Attached are Forms PTO/SB/08B (formerly Form PTO-1449) listing the relevant art known to the applicant herein. Copies of non-U.S. patents are enclosed. References that are U.S. patents are not required to be submitted. The Examiner is requested to consider the references and make them of record.

## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

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## FACSIMILE

☒ transmitted by facsimile to the Patent and Trademark Office, Art Unit 1615 at Fax No. (703) 872-9306

  
Edmund J. Sease

Applicant discloses herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. Enclosed is a copy of the International Search Report.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

Respectfully submitted,



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Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		Application Number	10/508,866
		Filing Date	May 11, 2005
		First Named Inventor	Ji, Shiehnan et al.
		Art Unit	1622
		Examiner Name	
Sheet 1 of 2	Attorney Docket Number	P06927US00	

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,211,944	05/18/1993	Tempesta	
		US-			
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FOREIGN PATENT DOCUMENTS						
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	2	WO 0018439	04/08/2000	Soehring Aktiengesellschaft		
	3	WO 01/49281	07/12/2001	Chin, Pharm J.		
	4	JP 4154805	05/27/1992	Shinetsu Chem Ind Co Ltd.		Y

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

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